

# Characterization of uniformly asymptotic $S$ -Toeplitz and $S$ -Hankel operators

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ABSTRACT. In this paper, we show that a shift operator on a separable Hilbert space with infinite multiplicity is strongly approximated by shift operators with finite multiplicities. Moreover, for an arbitrary shift operator  $S$ , we introduce the notion of an (asymptotic)  $S$ -Hankel operator and study its relation to the class of (asymptotic)  $S$ -Toeplitz operators.

## 1. Introduction

Throughout this paper, the Hilbert space  $\mathcal{H}$  is separable infinite dimensional, often identified with the space  $l^2$  of square summable sequences, with the canonical basis is  $\{e_n\}_{n=0}^\infty$ . The spaces of all bounded linear operators and all compact operators on  $\mathcal{H}$  are denoted by  $B(\mathcal{H})$  and  $K(\mathcal{H})$ , respectively. The Hardy space  $\mathcal{H}^2 = \mathcal{H}^2(\mathbb{D})$  is the collection of all analytic function  $f(z) = \sum_{n=0}^\infty a_n z^n$  on the open unit disk  $\mathbb{D}$  satisfying the norm condition

$$\|f\|^2 = \sum_{n=0}^\infty |a_n|^2 < \infty.$$

An isometric operator  $S$  on a Hilbert space  $\mathcal{H}$  is called a unilateral forward shift (briefly a shift) if  $\{S^{*n}\}$  tends strongly to 0. The dimension of the Hilbert space  $\mathcal{H} \ominus S\mathcal{H}$  is called the multiplicity of  $S$ . It is well known that the condition  $S^{*n} \rightarrow 0$  strongly is equivalent to the equality  $\bigcap_{n=0}^\infty S^n(\mathcal{H}) = \{0\}$ . The adjoint  $S^*$  of a shift

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will be referred to as a backward shift. Let  $\mathcal{H}$  be  $l^2$  endowed with the canonical basis  $\{e_n\}_{n=0}^\infty$ . One can easily check the linear operator  $U$  determined by the equations

$$Ue_n = e_{n+1} \quad n = 0, 1, 2, \dots$$

is a shift of multiplicity 1 that is called the unilateral shift operator. The adjoint  $U^*$  is uniquely determined by the equations

$$U^*e_0 = 0$$

$$U^*e_n = e_{n-1} \quad n = 1, 2, 3, \dots$$

The unilateral shift on the Hardy space is the multiplication operator  $M_z$  given by  $M_z f(z) = zf(z)$  for  $f \in \mathcal{H}^2$ . A Toeplitz operator on  $\mathcal{H}$  is an operator whose matrix has constant diagonals, or equivalently an operator  $T$  satisfying  $U^*TU = T$ . Similarly, a Hankel operator is one whose matrix representation has constant anti-diagonals, or equivalently an operator  $H$  satisfying  $U^*H = HU$ .

Barria and Halmos in [2] introduced the notion of asymptotic Toeplitz operators in strong operator topology, extended by Feintuch in [3, 4] to other topologies on  $B(\mathcal{H})$ . An operator  $A$  is called uniformly (strongly, weakly) asymptotic Toeplitz if the sequence  $\{U^{*n}AU^n\}$  is uniformly (strongly, weakly) convergent in  $B(\mathcal{H})$ . The commutator ideal of the Toeplitz algebra (the  $C^*$ -algebra generated by the set of all Toeplitz operators) is characterized in [2] using strongly asymptotic Toeplitz operators: an operator  $T$  in the Toeplitz algebra belongs to commutator ideal of the Toeplitz algebra if and only if the sequence  $\{U^{*n}TU^n\}$  converges strongly to zero. Feintuch also studies asymptotic Hankel operators in some different operator topologies. An operator  $B$  is uniformly (strongly, weakly) asymptotic Hankel if the sequence  $\{J_nBU^{n+1}\}$  converges uniformly (strongly, weakly), where  $J_n$  is the permutation operator of order  $n$ ,

$$J_n e_i = \begin{cases} e_{n-i} & , 0 \leq i \leq n \\ 0 & \text{otherwise.} \end{cases}$$

Feintuch characterized these operators and found their relation with asymptotic Toeplitz operators in [3, 4]. In Section 2, we define asymptotic Toeplitz and Hankel operators with respect to an arbitrary shift operator  $S$  and give characterizations of these operators.

## 2. Asymptotic $S$ -Toeplitz and $S$ -Hankel operators

Let  $S$  be a shift operator in  $B(\mathcal{H})$ . If  $\mathcal{K} = \ker S^*$  and  $B = \{\zeta_i\}_{i \in \Lambda}$  is an orthonormal basis of  $\mathcal{K}$  then by the Wold decomposition (see Chapter 1 of [7])  $\mathcal{H} = \bigoplus_{j=0}^\infty S^j \mathcal{K}$  with the orthonormal basis  $\{S^j \zeta_i : j \geq 0, i \in \Lambda\}$  and each  $f \in \mathcal{H}$  has a unique representation  $f = \sum_{j=0}^\infty S^j k_j$  and  $\|f\|^2 = \sum_{j=0}^\infty \|k_j\|^2$ , where  $k_j = P_0 S^{*j} f$  and  $P_0 = I - SS^*$  is the orthogonal projection of  $\mathcal{H}$  on  $\mathcal{K}$  (see also [6]). The multiplicity of  $S$  is the cardinal number of the set  $\Lambda$ , and the Wold decomposition determines



Each  $V_n$  is a shift operator with finite multiplicity  $n$ . To show that  $\{V_n\}_{n=1}^{\infty}$  converges strongly to  $V$ , consider  $f = \sum_{i=0}^{\infty} \lambda_i e_i$  in  $\mathcal{H}$  and  $\varepsilon > 0$ , then there is a positive integer  $N$  such that  $\sum_{i=n+1}^{\infty} |\lambda_i|^2 < \frac{\varepsilon^2}{2}$ , for  $n \geq N$ , that is,

$$\|V_n f - V f\| = \|(V_n - V)\left(\sum_{i=n+1}^{\infty} \lambda_i e_i\right)\| < \varepsilon.$$

Now if  $S$  is any shift operator (with infinite multiplicity),  $S = a^* V a$ , for some unitary operator  $a$ . Therefore,  $\{a^* V_n a\}_{n=1}^{\infty}$  converges strongly to  $S$ , and each  $S_n = a^* V_n a$  is a shift operator of finite multiplicity. Similarly,  $\{S_n^*\}_{n=1}^{\infty}$  converges strongly to  $S^*$ .  $\square$

Throughout the rest of the paper,  $S$  is a shift operator on  $\mathcal{H}$ . Let  $\mathcal{K}$  be the kernel of  $S^*$  and  $P_0 = I - S^* S$  be the projection onto  $\mathcal{K}$ . Every operator  $A \in B(\mathcal{H})$  has a matrix representation on  $\mathcal{K}$ , namely,  $A \sim [A_{ij}]_{i,j=0}^{\infty}$ , where  $A_{ij} = P_0 S^{*i} A S^j P_0$ , for  $i, j \geq 0$  [7]. An operator  $T \in B(\mathcal{H})$  is  $S$ -Toeplitz if  $S^* T S = T$ . By Theorem C in section 3.2 of [7],  $T \in B(\mathcal{H})$  is  $S$ -Toeplitz if and only if its matrix representation has the following form

$$[T_{ij}]_{i,j=0}^{\infty} = [T_{i-j}]_{i,j=0}^{\infty} = \begin{bmatrix} T_0 & T_{-1} & T_{-2} & \cdots \\ T_1 & T_0 & T_{-1} & \cdots \\ T_2 & T_1 & T_0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix} \quad (1)$$

where

$$T_j = \begin{cases} P_0 S^{*j} T P_0|_{\mathcal{K}}, & j \geq 0 \\ P_0 T S^{|j|} P_0|_{\mathcal{K}}, & j < 0. \end{cases}$$

A matrix of the form (1) is called a  $S$ -Toeplitz matrix. Then the transpose  $T^t$  of  $T$  is  $S$ -Toeplitz with matrix representation

$$[T_{ij}^t]_{i,j=0}^{\infty} = \begin{bmatrix} T_0 & T_1 & T_2 & \cdots \\ T_{-1} & T_0 & T_1 & \cdots \\ T_{-2} & T_{-1} & T_0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}.$$

The next result extends the well known fact that non-zero Toeplitz operators are never compact.

**Proposition 2.2.** *The non-zero  $S$ -Toeplitz operators are not compact.*

PROOF. If  $K$  is compact and  $S^{*n} K S^n = K$ ,  $n = 1, 2, 3, \dots$ , then for an arbitrary vector  $v$  of the form  $v = S^j x$ , where  $j$  is a non-negative integer and  $x$  is in  $\mathcal{K} = \ker S^*$ , one can see that  $Kv = 0$  and hence  $K = 0$ , since the vectors of that form span the whole space. To see  $Kv = 0$ , note that the sequence  $\{S^n v\}$  tends weakly to

zero, since, by definition,  $\{S^{*n}\}$  converges strongly to 0. Hence  $\{KS^n v\}$  is norm convergent to 0, for which reason, one can write

$$\|Kv\| = \|S^{*n}KS^n v\| \leq \|KS^n v\| \rightarrow 0$$

and the proof is over.  $\square$

An operator  $H \in B(\mathcal{H})$  is called  $S$ -Hankel if  $S^*H = HS$ . In this case,  $S^{*k}H = HS^k$ , for each positive integer  $k$ . The matrix representation of  $S$ -Hankel operators are as follows.

**Proposition 2.3.** *An operator  $H \in B(\mathcal{H})$  is  $S$ -Hankel if and only if*

$$[H_{ij}]_{i,j=0}^\infty = [H_{-(i+j+1)}]_{i,j=0}^\infty = \begin{bmatrix} H_{-1} & H_{-2} & H_{-3} & \cdots \\ H_{-2} & H_{-3} & & \cdots \\ H_{-3} & & \ddots & \\ \vdots & \vdots & & \end{bmatrix}, \quad (2)$$

where  $H_l = P_0HS^{-(l+1)}P_0|_{\mathcal{K}}$  for  $l < 0$ .

PROOF. Let  $H$  be a Hankel operator and  $H \sim [H_{ij}]_{i,j=0}^\infty$ . Then

$$H_{ij} = P_0S^{*i}HS^jP_0 = P_0HS^iS^jP_0 = P_0HS^{i+j}P_0$$

and we may put  $H_{-(i+j+1)} = H_{ij}$ . Conversely, let the matrix representation of  $H \in B(\mathcal{H})$  is of the form (2) and let  $[A_{ij}]$  and  $[B_{ij}]$  be the matrices of  $S^*H$  and  $HS$ . Then

$$A_{ij} = P_0S^{*i}S^*HS^jP_0 = P_0S^{*(i+1)}HS^jP_0 = H_{-(i+j+2)},$$

and

$$B_{ij} = P_0S^{*i}HSS^jP_0 = P_0S^{*i}HS^{j+1}P_0 = H_{-(i+j+2)}.$$

Hence  $A_{ij} = B_{ij}$ , that is,  $S^*H = SH$ .  $\square$

A matrix of the form (2) is called a  $S$ -Hankel matrix. Define the operators  $J_n$  on  $\mathcal{K} \oplus S\mathcal{K} \oplus \cdots \oplus S^n\mathcal{K}$  by  $J_n(S^m\zeta_i) = S^{n-m}\zeta_i$ , for  $0 \leq m \leq n$  and  $i \in \Lambda$ . Then  $J_n$  extends by zero on  $\mathcal{H}$ , and is called the  $S$ -permutation operator of order  $n$ . A simple computation shows that  $J_n^* = J_n$ ,  $J_n^2 = P_n$ ,  $\|J_n\| \leq 1$  and  $J_n = J_nP_n = P_nJ_n$ , where  $P_n$  is the projection onto  $\mathcal{K} \oplus S\mathcal{K} \oplus \cdots \oplus S^n\mathcal{K}$ .

**Definition 2.1.** An operator  $A$  is weak (strong, uniform) asymptotic  $S$ -Toeplitz ( $S$ -Hankel, respectively) if the sequence  $\{S^{*n}AS^n\}$  (the sequence  $\{J_nAS^{n+1}\}$ , respectively) converges in the weak (strong, uniform) operator topology. Note that if  $\{S^{*n}AS^n\}$  converges in any of these topologies, the limit is  $S$ -Toeplitz. A similar statement is true for  $S$ -Hankel operators.

**Lemma 2.4.** *If  $A \in B(\mathcal{H})$  and the sequence  $\{J_nAS^{n+1}\}$  converges weakly, then the limit is  $S$ -Hankle.*

PROOF. If  $\{J_n AS^{n+1}\}$  converges to  $B$  in weak operator topology then

$$\begin{aligned} \langle S^* BS^k \zeta_i, S^l \zeta_j \rangle &= \langle BS^k \zeta_i, S^{l+1} \zeta_j \rangle = \lim_n \langle J_n AS^{n+1} (S^k \zeta_i), S^{l+1} \zeta_j \rangle \\ &= \lim_n \langle AS^{n+k+1} \zeta_i, J_n S^{l+1} \zeta_j \rangle, \end{aligned}$$

for  $k, l \geq 0$  and  $i, j \in \Lambda$ . By definition, for  $n \geq l + 1$ ,  $J_n S^{l+1} \zeta_j = S^{n-(l+1)} \zeta_j$ . Thus, after an appropriate relabeling,

$$\langle S^* BS^k \zeta_i, S^l \zeta_j \rangle = \lim_m \langle AS^m \zeta_i, S^{m-(k+l)-2} \zeta_j \rangle.$$

Similarly,

$$\langle BS(S^k \zeta_i), S^l \zeta_j \rangle = \lim_m \langle AS^m \zeta_i, S^{m-(k+l)-2} \zeta_j \rangle.$$

Therefore,  $S^* B = BS$ . □

Now let  $T$  be a  $S$ -Toeplitz operator with matrix representation (1). Then,

$$J_n T S^{n+1} = P_n H, \tag{3}$$

where  $H$  is a  $S$ -Hankel operator with matrix

$$\begin{bmatrix} T_{-1} & T_{-2} & T_{-3} & \cdots \\ T_{-2} & T_{-3} & & \cdots \\ T_{-3} & & \ddots & \\ \vdots & \vdots & & \end{bmatrix}.$$

In this case, we write  $H = H(T)$ . For each  $S$ -Hankel operator  $H$ , we have  $H = H(T)$ , for some  $S$ -Toeplitz operator  $T$ . In particular, by (3), each  $S$ -Toeplitz operator is a strongly (weakly) asymptotic  $S$ -Hankel operator. The norm closed algebra generated by all  $S$ -Toeplitz and  $S$ -Hankel operators is called the  $S$ -Hankel algebra. We show the  $S$ -Hankel algebra is contained in both classes of the strongly asymptotic  $S$ -Toeplitz operators and strongly asymptotic  $S$ -Hankel operators. The next lemma is a direct consequence of definitions.

**Lemma 2.5.** *The set of strongly asymptotic  $S$ -Toeplitz operators is norm closed. The same is true for the class of strongly asymptotic  $S$ -Hankel operators.*

In general, the multiplication of two  $S$ -Toeplitz operators is not  $S$ -Toeplitz. However, we have the following useful formulas.

**Lemma 2.6.** (i) *If  $R$  and  $T$  are  $S$ -Toeplitz operators then  $TR = A - H(T^t)H(R)$  and  $H(T)R = B - T^t H(R)$ , for a  $S$ -Toeplitz operator  $A$  and a  $S$ -Hankel operator  $B$ .*

(ii) *If  $T_1, T_2, \dots, T_n$  are  $S$ -Toeplitz operators then*

$$T_n T_{n-1} \cdots T_1 = T + A_1 H_1 + \cdots + A_{n-1} H_{n-1},$$

where  $T$  is a  $S$ -Toeplitz operator,  $H_i$ 's are  $S$ -Hankel and  $A_i$ 's are in  $B(\mathcal{H})$ .

PROOF. For (i), let the matrix representations of  $R$  and  $T$  be

$$R \sim \begin{bmatrix} R_0 & R_{-1} & R_{-2} & \cdots \\ R_1 & R_0 & R_{-1} & \cdots \\ R_2 & R_1 & R_0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}, T \sim \begin{bmatrix} T_0 & T_{-1} & T_{-2} & \cdots \\ T_1 & T_0 & T_{-1} & \cdots \\ T_2 & T_1 & T_0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}.$$

If  $A = TR + H(T^t)H(R)$  then the matrix representation of  $A$  is of the form

$$\begin{aligned} [A_{ij}]_{i,j=0}^{\infty} &= \begin{bmatrix} T_0 & T_{-1} & T_{-2} & \cdots \\ T_1 & T_0 & T_{-1} & \cdots \\ T_2 & T_1 & T_0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix} \begin{bmatrix} R_0 & R_{-1} & R_{-2} & \cdots \\ R_1 & R_0 & R_{-1} & \cdots \\ R_2 & R_1 & R_0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix} \\ &+ \begin{bmatrix} T_1 & T_2 & T_3 & \cdots \\ T_2 & T_3 & & \cdots \\ T_3 & & \ddots & \\ \vdots & \vdots & & \end{bmatrix} \begin{bmatrix} R_{-1} & R_{-2} & R_{-3} & \cdots \\ R_{-2} & R_{-3} & & \cdots \\ R_{-3} & & \ddots & \\ \vdots & \vdots & & \end{bmatrix}, \end{aligned}$$

where  $A_{ij} = \sum_{k=0}^{\infty} T_{i-k}R_{k-j} + \sum_{k=0}^{\infty} T_{i+k+1}R_{-(j+k+1)}$ . Thus

$$\begin{aligned} A_{(i+1)(j+1)} &= \sum_{k=0}^{\infty} T_{i-k+1}R_{k-j-1} + \sum_{k=0}^{\infty} T_{i+k+2}R_{-(j+k+2)} \\ &= \sum_{k=0}^{\infty} T_{i-k}R_{k-j} + (T_{i+1}R_{-(j+1)} + \sum_{k=0}^{\infty} T_{i+k+2}R_{-(j+k+2)}) \\ &= \sum_{k=0}^{\infty} T_{i-k}R_{k-j} + \sum_{k=0}^{\infty} T_{i+k+1}R_{-(j+k+1)} = A_{ij}. \end{aligned}$$

Thus  $A$  is  $S$ -Toeplitz. Let  $B = T^tH(R) + H(T)R$ , with matrix representation

$$\begin{aligned} [B_{ij}]_{i,j=0}^{\infty} &= \begin{bmatrix} T_0 & T_1 & T_2 & \cdots \\ T_{-1} & T_0 & T_1 & \cdots \\ T_{-2} & T_{-1} & T_0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix} \begin{bmatrix} R_{-1} & R_{-2} & R_{-3} & \cdots \\ R_{-2} & R_{-3} & & \cdots \\ R_{-3} & & \ddots & \\ \vdots & \vdots & & \end{bmatrix} \\ &+ \begin{bmatrix} T_{-1} & T_{-2} & T_{-3} & \cdots \\ T_{-2} & T_{-3} & & \cdots \\ T_{-3} & & \ddots & \\ \vdots & \vdots & & \end{bmatrix} \begin{bmatrix} R_0 & R_{-1} & R_{-2} & \cdots \\ R_1 & R_0 & R_{-1} & \cdots \\ R_2 & R_1 & R_0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}, \end{aligned}$$

where  $B_{ij} = \sum_{k=0}^{\infty} T_{-i+k} R_{-j+k-1} + \sum_{k=0}^{\infty} T_{-(i+k+1)} R_{-j+k}$ . Then

$$\begin{aligned} B_{(i+1)(j-1)} &= \sum_{k=0}^{\infty} T_{-i+k-1} R_{-(j+k)} + \sum_{k=0}^{\infty} T_{-(i+k+2)} R_{-j+k+1} \\ &= \sum_{k=0}^{\infty} T_{-i+k} R_{-j+k-1} + (T_{-(i+1)} R_{-j} + \sum_{k=0}^{\infty} T_{-(i+k+2)} R_{-j+k+1}) \\ &= \sum_{k=0}^{\infty} T_{-i+k} R_{-j+k-1} + \sum_{k=0}^{\infty} T_{-(i+k+1)} R_{-j+k} = B_{ij}. \end{aligned}$$

Then the matrix of  $B$  has constant anti-diagonals and  $B$  is  $S$ -Hankel.

Part (ii) is proved by induction. For  $n = 1$ , the assertion is obvious. Assume that

$$T_{k-1} T_{k-2} \cdots T_1 = T + A_1 H_1 + \cdots + A_{k-2} H_{k-2},$$

with  $T$   $S$ -Toeplitz and  $H_i$ 's  $S$ -Hankel, then, by (i),  $T_k T = T' + B H'$ , for some  $B$ ,  $S$ -Toeplitz operator  $T'$  and  $S$ -Hankel operator  $H'$ . Therefore

$$\begin{aligned} T_k T_{k-1} \cdots T_1 &= T_k T + T_k A_1 H_1 + \cdots + T_k A_{k-2} H_{k-2} \\ &= T' + B H' + T_k A_1 H_1 + \cdots + T_k A_{k-2} H_{k-2}, \end{aligned}$$

as required.  $\square$

**Theorem 2.7.** *The  $S$ -Hankel algebra is contained in the class of strongly asymptotic  $S$ -Toeplitz operators.*

PROOF. Let  $H$  and  $B$  be bounded operators with  $H$   $S$ -Hankel. Then  $BH$  is strongly asymptotic  $S$ -Toeplitz, since  $S^{*n} B H S^n = S^{*n} B S^{*n} H \rightarrow 0$  in strong operator topology. Therefore, a multiplication of finitely many  $S$ -Toeplitz and  $S$ -Hankel operators is strongly asymptotic  $S$ -Toeplitz, by Lemma 2.6. The result follows now from Lemma 2.5.  $\square$

**Theorem 2.8.** *Every element of the  $S$ -Hankel algebra is a strongly asymptotic  $S$ -Hankel operator.*

PROOF. If  $H$  is  $S$ -Hankel, each operator of the form  $BH$  is strongly asymptotic  $S$ -Hankel, since

$$J_n B H S^{n+1} = J_n B S^{*n+1} H \rightarrow 0,$$

in the strong operator topology. Also every  $S$ -Toeplitz operator is strongly asymptotic  $S$ -Hankel. Now, as in the proof of Theorem 2.7, the result follows from Lemmas 2.5 and 2.6.  $\square$

In [3] uniformly asymptotic Toeplitz operators are characterized as operators of the form  $T + K$ , where  $T$  is Toeplitz and  $K$  is compact. If  $S$  is a shift operator with finite multiplicity, Matache in [5] uses the same characterization for uniformly asymptotic  $S$ -Toeplitz operators. Here we drop the assumption on multiplicity.



**Proposition 2.9.** *Every uniformly asymptotic  $S$ -Toeplitz operator  $A$  is of the form  $A = T + C$ , where  $T$  is  $S$ -Toeplitz and  $C$  is an operator such that  $\|(I - P_n)C(I - P_n)\| \rightarrow 0$ .*

PROOF. If  $T$  is  $S$ -Toeplitz, since  $S^{*n}AS^n - T = S^{*n}(A - T)S^n$ , the sequence  $\{S^{*n}AS^n\}$  converges uniformly to  $T$  if and only if  $\|S^{*n}(A - T)S^n\| \rightarrow 0$ . The matrix representation of  $S^{*n}(A - T)S^n$  is obtained from that of  $A - T$  by deleting the  $n$  first block-rows and columns. Similarly the matrix of  $(I - P_n)(A - T)(I - P_n)$  is obtained from that of  $A - T$  by replacing the  $n$  first block-rows and columns by zero. Therefore, for each  $n$ , the operators  $S^{*n}(A - T)S^n$  and  $(I - P_n)(A - T)(I - P_n)$  have the same norm, and the result follows.  $\square$

If the multiplicity of  $S$  is finite, as Matache shows in [5], each uniformly asymptotic  $S$ -Toeplitz operator  $A$  is of the form  $A = T + K$  such that  $T$  is  $S$ -Toeplitz and  $K$  is compact. Indeed, in this case,  $P_n$ 's are finite rank projections and

$$(I - P_n)(A - T)(I - P_n) = A - T - F_n$$

for some finite rank operators  $F_n$ . By the previous Proposition,  $\{S^{*n}AS^n\}$  converges uniformly to  $T$  if and only if  $\{F_n\}$  converges to the compact operator  $A - T$ . The converse follows from the fact that compact operators are uniformly asymptotic  $S$ -Toeplitz. Next we characterize those  $S$ -Toeplitz operators which are uniformly asymptotic  $S$ -Hankel.

**Lemma 2.10.** *Let  $T$  be  $S$ -Toeplitz and  $H = H(T)$ . Then  $T$  is uniformly asymptotic  $S$ -Hankel if and only if the sequence  $\{P_n(H)\}$  converges uniformly to  $H$ . When the multiplicity  $S$  is finite,  $T$  is uniformly asymptotic  $S$ -Hankel if and only if  $H$  is compact.*

PROOF. The first part follows from (3). If the multiplicity of  $S$  is finite, the sequence  $\{P_n H\}$  converges uniformly to  $H$  if and only if  $H$  is compact.  $\square$

For bounded operators  $A$  and  $B$ ,

$$\|J_n P_n A\|^2 = \|A^* P_n J_n J_n P_n A\| = \|A^* P_n P_n A\| = \|P_n A\|^2,$$

and since  $(I - P_n)S^{n+1} = S^{n+1}$ ,

$$\|J_n B S^{n+1}\| = \|J_n P_n B (I - P_n) S^{n+1}\| = \|P_n B (I - P_n)\|. \quad (4)$$

Therefore, by Lemma 2.10, uniformly asymptotic  $S$ -Toeplitz operators are not necessarily uniformly asymptotic  $S$ -Hankel. If the multiplicity of  $S$  is finite and  $A$  is uniformly asymptotic  $S$ -Toeplitz, then  $A = T + K$ , for some  $S$ -Teplitz operator  $T$  and compact operator  $K$ . Let  $A$  be uniformly asymptotic  $S$ -Hankel. By (4),  $\|J_n K S^{n+1}\| = \|P_n K (I - P_n)\| \rightarrow 0$ , hence the  $S$ -Toeplitz operator  $T$  must be uniformly asymptotic  $S$ -Hankel. By Lemma 2.10,  $H(T)$  is compact.

Let  $\mathcal{K} = \ker S^*$  and  $P_n$  be the finite rank projection onto  $\mathcal{K} \oplus SK \oplus \cdots \oplus S^n \mathcal{K}$ . An operator  $A \in B(\mathcal{H})$  is called quasi-triangular (relative to the sequence  $\{P_n\}$ ) if  $\|P_n A(I - P_n)\| \rightarrow 0$ . The algebra of all quasi-triangular operators is a Banach algebra [1]. If  $\text{alg}\{P_n\}$  consists of all operators  $A \in B(\mathcal{H})$  such that  $P_n A(I - P_n) = 0$ , for each  $n$ , then  $\text{alg}\{P_n\} + K(\mathcal{H})$  is the same as the algebra of all quasi-triangular operators [1]. The (weakly closed) algebra  $\text{alg}\{P_n\}$  contains of all operators with block-lower triangular matrix representation, and it is a nest algebra. When  $S$  has finite multiplicity, uniformly asymptotic  $S$ -Hankel operators are characterized as follows.

**Theorem 2.11.** *Let  $S$  has finite multiplicity. Then an operator  $A \in B(\mathcal{H})$  is uniformly asymptotic  $S$ -Hankel if and only if  $A = T + R$ , where  $T$  is a  $S$ -Toeplitz operator with  $H(T)$  compact, and  $R \in \text{alg}\{P_n\} + K(\mathcal{H})$ .*

PROOF. The  $S$ -permutations  $J_n$  are of finite rank, and if  $\{J_n A S^{n+1}\}$  converges uniformly to an operator  $H$ , then  $H$  is compact. Moreover, by Lemma 2.4,  $H$  is  $S$ -Hankel with matrix representation

$$\begin{bmatrix} H_{-1} & H_{-2} & H_{-3} & \cdots \\ H_{-2} & H_{-3} & & \cdots \\ H_{-3} & & \ddots & \\ \vdots & \vdots & & \ddots \end{bmatrix}.$$

Let  $T$  be the  $S$ -Toeplitz operator with matrix representation

$$\begin{bmatrix} 0 & H_{-1} & H_{-2} & \cdots \\ 0 & 0 & H_{-1} & \cdots \\ 0 & 0 & 0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}.$$

Then  $J_n T S^{n+1} = P_n H$ , and since  $H$  is compact,  $\{J_n T S^{n+1}\}$  converges uniformly to  $H$ . Therefore,  $\|J_n(A - T)S^{n+1}\| \rightarrow 0$ , since  $\|J_n(A - T)S^{n+1}\| \leq \|J_n A S^{n+1} - H\| + \|J_n T S^{n+1} - H\|$ . Hence by (4),  $A - T \in \text{alg}\{P_n\} + K(\mathcal{H})$ . Conversely, if  $A = T + R$  with  $T$   $S$ -Toeplitz and  $H(T)$  compact, and  $R \in \text{alg}\{P_n\} + K(\mathcal{H})$ , then  $\{J_n T S^{n+1} = P_n H\}$  converges uniformly to  $H$ ,  $A - T \in \text{alg}\{P_n\} + K(\mathcal{H})$ , and  $\|J_n A S^{n+1} - H\| \leq \|J_n(A - T)S^{n+1}\| + \|J_n T S^{n+1} - H\|$ . Therefore  $A$  is uniformly asymptotic  $S$ -Hankel.  $\square$

The next result characterizes The block-matrix of weakly asymptotic  $S$ -Hankel and  $S$ -Toeplitz operators.

**Theorem 2.12.** *Let  $\left[ \left[ t_{ij}^{k,l} \right]_{i,j \in \Lambda} \right]_{k,l=0}^{\infty}$  be the block-matrix representation of operator  $T$  with respect to the basis  $\{S^n \zeta_i : n \geq 0, i \in \Lambda\}$  of  $\mathcal{H}$ . Then*

- (i)  $T$  is weakly asymptotic  $S$ -Hankel if and only if for  $i, j \in \Lambda$  and  $p \geq 1$  the sequence  $\{t_{ij}^{m, m+p}\}_{m=0}^{\infty}$  converges to some complex number  $t_{ij}^{-p}$ . In this case,  $\left[ \left[ t_{ij}^{-(k+l+1)} \right]_{i, j \in \Lambda} \right]_{k, l=0}^{\infty}$  is a  $S$ -Hankel block-matrix.
- (ii)  $T$  is weakly asymptotic  $S$ -Toeplitz if and only if for each integer number  $p$  the sequence  $\{t_{ij}^{m, m+p}\}_{m=0}^{\infty}$  converges to some  $t_{ij}^{-p}$ . In this case,  $\left[ \left[ t_{ij}^{k-l} \right]_{i, j \in \Lambda} \right]_{k, l=0}^{\infty}$  is a  $S$ -Toeplitz block-matrix.

PROOF. For (i), let  $T$  be a weakly asymptotic  $S$ -Hankel operator. Since

$$\begin{aligned} \langle J_n T S^{n+1}(S^l \zeta_j), S^k \zeta_i \rangle &= \begin{cases} \langle T S^{n+l+1} \zeta_j, S^{n-k} \zeta_i \rangle & , n \geq k \\ 0 & , n < k \end{cases} \\ &= \begin{cases} t_{ij}^{n-k, n+l+1} & , n \geq k \\ 0 & , n < k \end{cases} \end{aligned}$$

by the change of indices  $n - k = m$  and  $k + l + 1 = p$ , the sequence  $\{t_{ij}^{m, m+p}\}_{m=0}^{\infty}$  converges to some complex number  $t_{ij}^{-p}$  for  $p \geq 1$  and  $i, j \in \Lambda$ .

For (ii), by assumption,

$$\langle S^{*n} T S^n(S^l \zeta_j), S^k \zeta_i \rangle = \langle T S^{n+l} \zeta_j, S^{n+k} \zeta_i \rangle = t_{ij}^{n+k, n+l}.$$

and by the change indices  $n + k = m$  and  $l - k = p$ , if  $T$  is a weakly asymptotic  $S$ -Toeplitz, the sequence  $\{t_{ij}^{m, m+p}\}_{m=0}^{\infty}$  converges to some complex number  $t_{ij}^{-p}$ , for each  $p$  and  $i, j \in \Lambda$ .  $\square$

**Corollary 2.13.** *Every weakly asymptotic  $S$ -Toeplitz operator is weakly asymptotic  $S$ -Hankel.*

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